

SOCIAL SECURITY ADMINISTRATION

FY 2021 PRESIDENT'S BUDGET

Key Tables

Table i.1 - Summary Table of SSA's Appropriation Request

FY 2021	FTE	Amount
Payments to Social Security Trust Funds		\$ 11,000,000
Supplemental Security Income (SSI) Program	-	-
FY 2021 Request	-	\$ 40,308,177,000 ¹
FY 2022 First Quarter Advance	-	\$ 19,600,000,000
Limitation on Administrative Expenses (LAE)	60,761 ²	\$ 13,351,473,000 ³
Office of the Inspector General (OIG)	547	\$ 116,000,000

¹ Excludes \$19,900,000,000 provided in the Further Consolidated Appropriations Act, 2020, as a first quarter advance for FY 2021.

² FTEs include those funded from dedicated funding to reduce the hearings backlog, dedicated funding for IT modernization, and dedicated funding for program integrity. In addition, FTEs include those funded for reimbursable work, the Medicare Savings Program, the State Children's Health Insurance Program, and the Medicare Low-Income Subsidy Program.

³ Includes \$135,000,000 for SSI State Supplementary user fees, up to \$1,000,000 for non-attorney user fees, and up to \$270,000,000 for replacement Social Security card fees.

Table i.2 – Administrative Budget Authority and Other Planned Obligations¹ (in millions)

	FY 2019 Actual	FY 2020 Enacted	FY 2021 Estimate	Change FY 20/FY 21
<u>Budget Authority</u>				
Base Limitation on Administrative Expenses (LAE)	\$11,059	\$11,158	\$11,640	\$483
Program Integrity Base Level	\$273	\$273	\$273	\$0
Program Integrity Cap Adjustment	\$1,410	\$1,309	\$1,302	-\$7
User Fees ²	\$135	\$131	\$136	\$5
Subtotal, LAE Appropriation	\$12,877	\$12,871	\$13,351	\$481
Percent change from FY 2020				3.7%
Research	\$101	\$101	\$86	-\$15
Office of the Inspector General (OIG) ³	\$106	\$106	\$116	\$11
Subtotal, Budget Authority	\$13,083	\$13,078	\$13,553	\$476
Percent change from FY 2020				3.6%
<u>Other Planned Obligations</u>				
No-year Information Technology ⁴	\$28	\$206 ⁵	\$150	-\$56
MIPPA – Low-Income Subsidy (LIS) ⁶	\$0	\$6	\$6	\$0
MIPPA - Medicare Savings Program (MSP)	\$0	\$7	\$7	\$0
SCHIP - State Children's Health Insurance	\$0	\$1	\$1	\$0
Medicare Access and Chip Reauthorization Act of 2015 (MACRA)	\$1	\$0	\$0	\$0
Recovery Act ⁷				
Workload Processing	\$0	\$0	\$0	\$0
Economic Recovery Payments Admin	\$0	\$0	\$0	\$0
National Computer Center Replacement	\$2	\$0	\$0	\$0
OIG Oversight	\$0	\$0	\$0	\$0
Subtotal, Other Planned Obligations	\$31	\$220	\$164	-\$56

¹ Totals may not equal sums of component parts due to rounding.

² Includes SSI user fees and Social Security Protection Act user fees.

³ Public Law 115-245 allows SSA to transfer up to \$10 million of program integrity funds in the LAE account to the OIG for the costs associated with jointly operated cooperative disability investigations units in FY 2020. The Budget allows us to transfer up to \$11.2 million to the OIG in FY 2021. This line does not reflect the transfer of \$10 million and \$11.2 million in FY 2020 and FY 2021, as the budget authority is captured as part of the LAE PI cap adjustment line.

⁴ Excludes IT Modernization special funding.

⁵ Prior to receiving our approved annual apportionments from OMB our FY 2020 carryover was \$206 million. Subsequently, we realized an additional \$5 million in carryover.

⁶ The Medicare Improvements for Patients and Providers Act 2008 (MIPPA) provided funding through the general fund for our activities related to MSP outreach and transmittal of data to States and implementation of changes to the LIS program. \$27 million in carryover funds remain available, \$12 million of which are set aside for reimbursements for the LIS workload and the remaining \$15 million for MSP costs above our annual reimbursable cap of \$3 million.

⁷ Funds provided in the American Recovery and Reinvestment Act of 2009 (Recovery Act) (P.L. 111-5).

	FY 2019 Actual	FY 2020 Enacted	FY 2021 Estimate	Change FY 20/FY 21
TOTAL, BUDGET AUTHORITY AND OTHER PLANNED OBLIGATIONS	\$13,114	\$13,297	\$13,717	\$420

Table i.3 – SSA Full Time Equivalents and Workyears

	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate	Change FY 20/FY 21
SSA Full Time Equivalents	60,694	61,199	60,761	-438
SSA Overtime/Lump Sum Leave	3,362	1,245	945	-300
Subtotal, SSA Workyears¹	64,056	62,444	61,706	-738
Disability Determination Services (DDS) Workyears	13,429	13,157	13,467	310
Subtotal, SSA and DDS Workyears	77,485	75,601	75,173	-428
MACRA Workyears	6	3	0	-3
Subtotal, SSA, DDS and MACRA Workyears	77,491	75,604	75,173	-431
OIG Full Time Equivalents	508 ²	533	547	14
OIG Overtime/Lump Sum Leave	10	5	5	0
Subtotal, OIG Workyears	518	538	552	14
TOTAL SSA/DDS/OIG WORKYEARS	78,009	76,142	75,725	-417

¹ Workyears include those funded from dedicated funding to reduce the hearings backlog, dedicated funding for IT modernization, and dedicated funding for program integrity. In addition, the workyears include those funded for reimbursable work (234 workyears in FY 2019 and 300 workyears in FY 2020 and FY 2021), MSP, SCHIP, and LIS.

² This is the final FTE level, which is an update to the 501 FTEs in the President's Budget Appendix.

Table i.4 – SSA Outlays by Program (in millions)¹

	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate	Change FY 20/FY 21
<u>Trust Fund Programs</u>				
Old-Age and Survivors Insurance (OASI)	\$896,791	\$948,262	\$1,005,293	\$57,031
Disability Insurance (DI)	\$147,815	\$149,539	\$151,487	\$1,948
Subtotal, Trust Fund Programs	\$1,044,606	\$1,097,801	\$1,156,780	\$58,979
Medicare Access and Chip Reauthorization Act of 2015 (MACRA)	\$1	\$0	\$0	\$0
<u>General Fund Programs</u>				
Supplemental Security Income (SSI)	\$60,336	\$60,974	\$62,327	\$1,353
Special Benefits for Certain World War II Veterans	\$1	\$1	\$1	\$0
Recovery Act: National Support Center	\$2	\$2	\$0	-\$2
Subtotal, General Fund Programs	\$60,339	\$60,977	\$62,328	\$1,351
TOTAL SSA Outlays, Current Law	\$1,104,946	\$1,158,778	\$1,219,108	\$60,330
Percent change from FY 2020				5.21 %
<u>Proposed OASDI Legislation:</u>				
Increase the Overpayment Collection Threshold for OASDI	\$0	\$0	-\$13	-\$13
Exclude SSA Debts from Discharge in Bankruptcy	\$0	\$0	-\$4	-\$4
Reduce 12-Month Retroactive DI Benefits to 6 Months	\$0	\$0	-\$292	-\$292
Subtotal, Proposed OASDI Legislation	\$0	\$0	-\$309	-\$309
<u>Proposed General Fund Legislation:</u>				
Create a Sliding Scale for Multi-Recipient SSI Families	\$0	\$0	-\$752	-\$752
Improve SSI Youth Transition to Work	\$0	\$0	-\$4	-\$4
Reform Ticket to Work	\$0	\$0	-\$2	-\$2
Strengthening Child Support Enforcement and Establishment	\$0	\$0	-\$3	-\$3
Get Non-Custodial Parents to Work	\$0	\$0	-\$1	-\$1
Special Immigrant Visa Extension	\$0	\$0	\$12	\$12
Subtotal, Proposed General Fund Legislation	\$0	\$0	-\$750	-\$750
TOTAL SSA Outlays, Proposed Law	\$0	\$0	-\$1,059	-\$1,059
TOTAL SSA Outlays, Current & Proposed Law	\$1,104,946	\$1,158,778	\$1,218,049	\$59,271

¹ Totals may not equal sums of component parts due to rounding.

Table i.5 – Current Law- OASDI Outlays and Income (in millions)

	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate	Change FY 20/FY 21
<u>Outlays</u>				
OASI Benefits	\$888,067	\$939,212	\$996,767	\$57,555
DI Benefits	\$144,852	\$146,462	\$148,734	\$2,272
Other ¹	\$11,687	\$12,127	\$11,279	-\$848
TOTAL OUTLAYS, Current Law	\$1,044,606	\$1,097,801	\$1,156,780	\$58,979
<u>Income</u>				
OASI	\$900,037	\$956,808	\$995,663	\$38,855
DI	\$151,083	\$147,831	\$154,349	\$6,518
TOTAL INCOME, Current Law	\$1,051,120	\$1,104,639	\$1,150,012	\$45,373

Table i.6 – Current Law- OASDI Beneficiaries and Average Benefit Payments (in thousands)

	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate	Change FY 20/FY 21
<u>Average Number of Beneficiaries</u>				
OASI	53,100	54,520	55,867	1,347
DI	10,114	9,973	9,900	-73
TOTAL BENEFICIARIES	63,214	64,493	65,767	1,274
<u>Average Monthly Benefit</u>				
Retired Worker	\$1,456	\$1,500	\$1,551	\$51
Disabled Worker	\$1,227	\$1,254	\$1,287	\$33
Projected COLA Payable in January	2.8%	1.6%	2.4%	0.8%

¹ “Other” includes SSA & non-SSA administration expenses, beneficiary services, payment to the Railroad Retirement Board, and demonstration projects.

Table i.7 – Current Law- Supplemental Security Income Outlays (in millions)¹

	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate	Change FY 20/FY 21
Federal Benefits	\$55,856	\$56,532	\$57,551	\$1,019
Other ²	\$4,478	\$4,446	\$4,780	\$334
Subtotal, Federal Outlays	\$60,334	\$60,978	\$62,331	\$1,353
State Supplementary Benefits	\$2,569	\$2,595	\$2,640	\$45
State Supplementary Reimbursements	-\$2,568	-\$2,599	-\$2,644	-\$45
Subtotal, Net State Supplementary Payments³	\$2	-\$4	-\$4	\$0
TOTAL OUTLAYS, Current Law	\$60,336	\$60,974	\$62,327	\$1,353

¹ Totals may not equal sums of component parts due to rounding.

² "Other" includes beneficiary services, research, administrative expenses, Afghans Special Immigrant Visa, and Liberian Deferred Enforced Departure.

³ States must reimburse us in advance for State Supplementary Payments. There will always be 12 State reimbursements in each fiscal year, but there can be 11, 12, or 13 benefit payments per fiscal year because a monthly payment is advanced into the end of the previous month anytime the due date falls on a weekend or holiday. Hence, the "Net State Supplementary Payment" numbers vary from year-to-year depending on the timing of the October benefit payments at the beginning and end of each fiscal year.

Table i.8 – SSI Recipients and Benefit Payments¹ (Recipients in thousands)

	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate	Change FY 20/FY 21
<u>Average Number of SSI Recipients</u>				
Federal Recipients				
Aged	1,114	1,112	1,112	0
Blind or Disabled	6,842	6,811	6,763	-48
SUBTOTAL, FEDERAL RECIPIENTS	7,957	7,923	7,875	-48
State Supplement Recipients (with no Federal SSI payment)	151	149	150	1
TOTAL SSI RECIPIENTS, Current Law	8,108	8,072	8,025	-47
<i>SSI Federal Recipients Concurrently Receiving</i>				
<i>OASDI Benefits (included above)</i>	2,716	2,704	2,691	-13
<u>Average Monthly Benefit</u>				
Aged	\$412	\$424	\$437	\$13
Blind and Disabled	\$605	\$614	\$629	\$15
AVERAGE, All SSI Recipients	\$578	\$588	\$602	\$14
Projected COLA Payable in January	2.8%	1.6%	2.4%	0.8%

¹ Totals may not equal sums of component parts due to rounding.

**Table i.9 – Special Benefits for Certain WWII Veterans Overview
(Outlays in millions)**

	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate	Change FY 20/FY 21
Federal Benefits	\$1	\$1	\$1	\$0
Administration	\$0	\$0 ¹	\$0 ¹	\$0
TOTAL OUTLAYS	\$1	\$1	\$1	\$0
Average Number of Beneficiaries	292	207	134	-73
Average Monthly Benefit	\$331	\$390	\$398	\$8

¹ Less than \$500,000.

Table i.10 – Administrative Outlays as a Percent of Trust Fund Income and Benefit Payments - FY 2021 (in millions)

	Administrative Outlays¹	Trust Fund Income	Percent of Trust Fund Income
OASI	\$3,136	\$995,663	0.3%
DI	\$2,399	\$154,349	1.6%
SSN Fees	\$270	-	-
OASDI (combined)	\$5,805	\$1,150,012	0.5%

	Administrative Outlays¹	Benefit Payments	Percent of Benefit Payments
OASI	\$3,136	\$996,767	0.3%
DI	\$2,399	\$148,734	1.6%
SSN Fees	\$270	-	-
Subtotal, OASDI (combined)	\$5,805	\$1,145,501	0.5%
SSI (Federal and State)	\$4,732	\$60,191	7.9%
Other ²	\$2,916	-	-
TOTAL	\$13,453	\$1,205,692	1.1%

¹ FY 2021 Budget proposes that the total requested LAE budget authority of \$13.351 billion be offset by fees collected for replacement Social Security cards (estimated at \$270 million).

² Includes administrative outlays for Hospital Insurance and Supplemental Medical Insurance (\$2.816 billion), administrative outlays from the General Fund to OIG, SCHIP, MIPPA LIS, and Special Benefits for Certain World War II Veterans (\$40 million), and reimbursables (\$60 million). Our calculation of discretionary administrative expenses excludes Treasury administrative expenses, which are mandatory outlays.

Table i.11 – Tax Rates, Wage Base and Economic Assumptions

	CY 2019	CY 2020	CY 2021	Change CY 20/CY 21
<u>Employer/Employee Rates (each)</u>				
OASDI (Social Security)	6.20%	6.20%	6.20%	0.0%
Hospital Insurance (HI) (Medicare)	1.45%	1.45%	1.45%	0.0%
EMPLOYEE TOTAL	7.65%	7.65%	7.65%	0.0%
<u>Self-Employment Rates</u>				
OASDI (Social Security)	12.40%	12.40%	12.40%	0.0%
HI (Medicare)	2.90%	2.90%	2.90%	0.0%
TOTAL	15.30%	15.30%	15.30%	0.0%
<u>Cost of Living Adjustments (COLAs)</u>				
January	2.8%	1.6%	2.4% ¹	0.8%
<u>Contribution and Benefit Base</u>				
OASDI	\$132,900	\$137,700	\$142,200 ¹	\$4,500
HI	(no cap)	(no cap)	(no cap)	
<u>Annual Retirement Test</u>				
Year Individual Reaches Full Retirement Age (FRA) ²	\$46,920	\$48,600	\$50,160 ¹	\$1,560
Under Full Retirement Age	\$17,640	\$18,240	\$18,840 ¹	\$600
<u>Wages Required for a Quarter of Coverage</u>	\$1,360	\$1,410	\$1,460¹	\$50

¹ Estimate.

² For months prior to attaining FRA. There is no limit on earnings beginning the month an individual attains full retirement age.